

Duplicate

Practitioner's Docket No. 2003-1959.ORI

PATENT

## IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re application of: Nippon Shokubai Co., Ltd.  
Serial No.: 10/633,139  
Filed: August 1, 2003  
For: Production process for hydroxyalkyl (meth)acrylate  
Examiner: ZUCKER, Paul A.  
Group No.: 1621  
Confirmation No.: 3097

Mail Stop Issue Fee  
Commissioner for Patents  
P.O. Box 1450  
Alexandria, VA 22313-1450

Authorization To Charge To Deposit Account  
For Information Disclosure Statement

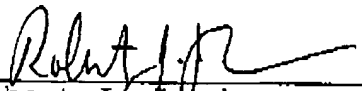
An Information Disclosure Statement dated October 2, 2005 is included in this filing.

The Director is hereby authorized to charge the Information Disclosure Statement fee of \$180.00 required under 37 CFR 1.17(p) to Deposit Account Number 50-3288 of the undersigned. I have enclosed a duplicate copy of this sheet.

(As to the issue and publication fees, authorization to charge the deposit account of the undersigned is provided directly on form PTOL-85.)

Respectfully submitted,

Date: 10-2-05

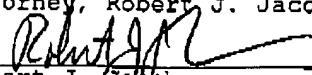
  
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{18811.DOC}

I hereby certify that this correspondence and the documents referred to as enclosed therein are being facsimile transmitted to the Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450 on October 2, 2005 to 1-571-273-2885 by applicant's attorney, Robert J. Jacobson.

  
Robert J. Jacobson



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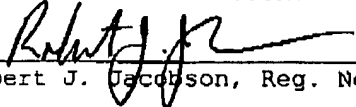
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**Commissioner for Patents**  
**P.O. Box 1450**  
**Alexandria, VA 22313-1450**

**Information Disclosure Statement**

Pursuant to 37 C.F.R. §§ 1.56, 1.97 and 1.98, applicant hereby submits the reference(s) listed on the attached Form(s) 1449 (or equivalent) such that said reference(s) may be considered and made of record. A copy of each of the cited reference(s) is enclosed. No representation is made that any of the listed references is prior art pursuant to 35 U.S.C. § 102 and/or 35 U.S.C. § 103.

This Information Disclosure Statement is being filed in accordance with the following provision(s):

I hereby certify that this correspondence and the documents referred to as enclosed therein are being facsimile transmitted to the Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450 on October 2, 2005 to 1-571-273-2885 by applicant's attorney, Robert J. Jacobson.

  
Robert J. Jacobson, Reg. No. 32,419

(Information Disclosure Statement—page 1 of 3)



37 CFR 1.97(d) An information disclosure statement shall be considered by the Office if filed by the applicant after the period specified in paragraph (c) of this section, provided that the information disclosure statement is filed on or before payment of the issue fee and is accompanied by:

- (1) The statement specified in paragraph (e) of this section; and
- (2) The fee set forth in § 1.17(p).

37 CFR 1.97 (e)(1) The undersigned hereby certifies that each item of information contained in this information disclosure statement was first cited in any communication from a foreign patent office in a counterpart foreign application not more than three months prior to the filing of this information disclosure statement.

37 CFR 1.17(p) For an information disclosure statement under § 1.97(c) or (d) or a submission under § 1.99: \$180.00.

- As to the fee of \$180.00, please see the attached **"Authorization To Charge To Deposit Account For Information Disclosure Statement."**

37 CFR 1.98(c) When the disclosures of two or more patents or publications listed in an information disclosure statement are substantively cumulative, a copy of one of the patents or publication may be submitted without copies of the other patents or publications, provided that it is stated that these other patents or publications are cumulative. The following references are being submitted under this provision where each of the references within the following groups of references is believed to be cumulative of the other reference in said group:

- b. CN 1293185 A
- b'. US 6,380,424 B1

For the information of The Patent Office, please find further enclosed a copy of a Chinese Patent Office communication dated August 19, 2005 (document "a," four pages total). The EPO

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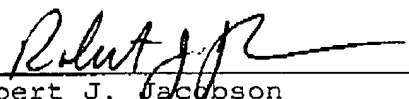
(Information Disclosure Statement—page 2 of 3)



Communication cites CN 1293185 A.

Respectfully submitted,

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(Information Disclosure Statement-page 3 of 3)



PTO/SB/08a (08-03)  
Approved for use through 07/31/2008, OMB 0651-0031  
U.S. Patent and Trademark Office: U.S. DEPARTMENT OF COMMERCE

Under the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it contains a valid OMB control number.

Substitute for form 1449A/PTO  <b>INFORMATION DISCLOSURE STATEMENT BY APPLICANT</b>  <i>(use as many sheets as necessary)</i>				<b>Complete if Known</b>	
				Application Number	10/633,139
				Filing Date	August 1, 2003
				First Named Inventor	Hiroyuki TAKAKI
				Art Unit	1621
				Examiner Name	Zucker, Paul A.
				Attorney Docket Number	2003-1959.ORI
Sheet	one	of	one		

[illegible][illegible]

Examiner Signature		Date Considered	
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**\*EXAMINER:** Initial if reference considered, whether or not citation is in conformance with MPEP 809. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant. <sup>1</sup> Applicant's unique claydon designation number (optional). <sup>2</sup> See Kinda codes of USPTO Patent Documents at [www.uspto.gov](http://www.uspto.gov) or MPEP 901.04. <sup>3</sup> Enter Office that issued the document, by the two-letter code (WIPO Standard ST.3). <sup>4</sup> For Japanese patent documents, the indication of the year of the reign of the Emperor must precede the serial number of the patent document. <sup>5</sup> Kind of document by the appropriate symbols as indicated on the document under WIPO Standard ST. 16 if possible. <sup>6</sup> Applicant is to place a check mark here if English language Translation is attached.

This collection of information is required by 37 CFR 1.97 and 1.98. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to take 2 hours to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.